# Double proton shifts in associates of formic acid with CH<sub>4</sub>, NH<sub>3</sub>, H<sub>2</sub>O, CH<sub>3</sub>F, NH<sub>2</sub>F, HOF, and HF molecules\*

R. M. Minyaev\* and V. I. Minkin

Institute of Physical and Organic Chemistry at Rostov State University, 194/3 prosp. Stachki, 344104 Rostov-on-Don, Russian Federation. Fax: +7 (863 2) 28 5667

The mechanisms of double synchronous proton transfer in associates of formic acid with solvent molecules of the  $HC(O)OH\cdots X$  ( $X = CH_4$ ,  $NH_3$ ,  $H_2O$ , or HF) and  $HC(O)OH\cdots FH\cdots Y$  ( $Y = CH_3F$ ,  $NH_2F$ , HOF,  $F_2$ , or HF) types have been studied by an *ab initio* (SCF/3G) method. The calculated activation barriers of the reactions are 78.52, 17.72, 9.91, and 7.06 kcal  $mol^{-1}$  in the former case and 120.1, 259.4, 228.7, 182.8, and 0.35 kcal  $mol^{-1}$  in the latter case. In the latter case, simultaneously with the double transfer of protons, migration of two fluorine atoms along the chain of the associate occurs.

Key words: formic acid, associate; potential energy surface; reaction pathway; energy barrier of reaction.

To understand the mechanisms of the reactions occurring in enzymatic systems and the dynamics of their functioning, simulation of these reactions using simple molecular systems that adequately reflect basic dynamic characteristics of cooperative processes is often required. Intermolecular proton transfers play one of the leading parts in these processes. 1-7 The height of the energy barrier to the proton transfer is mostly determined by the following factors: (1) fulfillment of the steric requirement that the geometry of the X.-H-Y hydrogen bond be sufficiently close to the optimal linear configuration of the three-center bridge; (2) the acidity (the energy of heterolytic dissociation) of the Y-H bond; (3) the basicity of the proton accepting center X; (4) the electron delocalization (quasiaromatic character) of the ring that is closed through the hydrogen bond in the case when X and Y are parts of the same molecule (intramolecular hydrogen bond). 1,7-9

If the system does not comply with one or more of the foregoing requirements, the proton transfer is accompanied by overcoming a rather high activation barrier. In fact, intramolecular migrations (1,3-shifts) of protons (Scheme 1) in molecules of formamidine (1A, X = NH) and formic acid (1A, X = O), according to experimental data and results of quantum-chemical calculations,  $^{1-3}$  are associated with climbing over rather high activation barriers (>40 kcal mol<sup>-1</sup>). However, as shown by ab initio calculations, intermolecular transfer of protons in dimers of carboxylic acids and amidines (eight-membered rings) and also in associates of the latter with one  $H_2O$  molecule (six-membered rings formed by hydrogen

This substantial decrease in the barriers to hydrogen transfer is obviously due to the fact that steric conditions in associates 2 are more favorable for H-bridges to close and for protons to move along them than those in 1. Therefore, these reactions (see Scheme 2) may serve as a base of processes of bifunctional catalysis, enzymolysis, and tautomerization promoted by water molecules, despite the fact that they require two synchronous proton migrations. 1,10 However, general regularities, associated with the nature of one-step (concerted) transfer of two

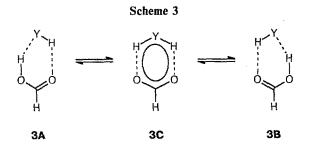
bonds)<sup>3,4</sup> is substantially facilitated (Scheme 2) and involves activation barriers that are only one half or one third of those in the case of intramolecular processes.

<sup>\*</sup> Dedicated to Academician of the RAS N. S. Zefirov (on his 60th birthday).

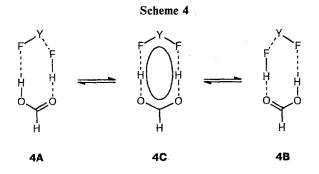
or more protons between reaction contacts in a complex molecular system, are not yet entirely known. Along with water molecules, other molecules containing Y—H type bonds can act as proton-transferring species. It is of interest to find out, what structure of associates incorporating these molecules would ensure the occurrence of low-barrier proton transfer. Reactions of this type are an important step or are involved in cooperative transformations that occur in substrate—enzyme complexes and, as a rule, <sup>11</sup> along with the transfer of protons, they include the transfer of one or several multielectron groups between reaction centers.

The main purposes of the present work have been to study the effect of CH<sub>4</sub>, NH<sub>3</sub>, H<sub>2</sub>O, and HF molecules, which simulate proton-donating and proton-withdrawing media, whose basicity and acidity vary over wide limits, on the height of the energy barrier to the 1,3-transfer of protons in associates 3 with formic acid molecules, according to Scheme 3, and also to study the effect of the incorporation of the second transferring molecule, HF, on the mechanism and kinetics of the proton shift in the model reaction (Scheme 4) in which double proton migrations are accompanied by synchronous displacements of the two fluorine atoms from one solvent molecule to another, using *ab initio* calculations.

Determination of precise quantitative characteristics of proton shifts was not our task. Therefore, the reactions shown in Schemes 3 and 4 were studied by the *ab initio* (SCF) method in the minimum STO-3G basis



 $Y = Me(a), NH_2(b), OH(c), F(d)$ 



 $Y = Me(a), NH_2(b), OH(c), F(d), H(e)$ 

set.<sup>12</sup> Although this basis set is insufficient to predict precise geometric and energetic properties of the systems under consideration, it has proved to be satisfactorily applicable and reliable for qualitative analysis of the topology of the potential energy surface (PES) and the pathways of double shifts of protons in neutral systems.<sup>1,7,12,13</sup>

#### Procedure of the Calculations

Calculations were carried out on AST Premium/386C and PC-486 personal computers by the restricted Hartree—Fock (SCF/3G) method<sup>12</sup> using the MICROMOL-5 program.<sup>14</sup> The STO-3G basis set is insufficient to obtain quantitative estimates for anionic structures; in this case, to achieve satisfactory agreement with experimental data, one should use valence-split sets that necessarily include polarization functions.<sup>1,12</sup> Therefore, in the present work for the calculations of anionic forms, the STO-6-31G\* basis set (see Ref. 12) was used.

Full optimization of the geometry of molecular structures corresponding to the saddle points ( $\lambda=1$ ; hereinafter,  $\lambda$  is the number of negative eigenvalues of the Hesse matrix in the given critical point<sup>1,15</sup>) was carried out up to a gradient magnitude of  $10^{-6}$  au B<sup>-1</sup>, and that for the molecular structures corresponding to the energy minima ( $\lambda=0$ ) on the PES was carried out up to  $10^{-3}$  au B<sup>-1</sup>, due to the extremely slow convergence of the optimization process. The matrix of force constants was calculated numerically according to the three-point scheme with a step of 0.001 Å using a MICROMOL program.

The structures corresponding to the energy minima on PES were found by the method of steepest descent (movement along the gradient line) from a saddle point (transition state) to the neighboring critical point (a saddle point or a maximum), which simultaneously recorded the gradient reaction pathway, 15 connecting minima to the corresponding saddle points. The initial direction of the gradient line was specified by a minor displacement along the direction of the transition vector of the corresponding transition structure.

The superposition error in the calculations of the energies of stabilization of associates with respect to the separate molecules of formic acid and the solvent was not taken into account, since we mostly studied interassociate reaction pathways, rather than dissociation limits, for which the allowance for this error would have been especially important. We also did not consider the influence of tunnel effects on the mechanisms and energetics of the reactions under study, since one may expect that these effects are negligibly small owing to the substantial contribution of the motion of all of the multi-electron atoms to the reaction coordinate. 16

## Results and Discussion

Proton transfer by the electrophilic substitution mechanism ( $S_E2$ ) at the central atom of the solvent (see Scheme 3). The calculations have shown that all of associates 3A correspond to energy minima ( $\lambda=0$ ), and symmetrical structures 3C correspond to saddle points ( $\lambda=1$ ) on the PES. The energetic and geometric characteristics of the ground and transition states 3A and 3C calculated are presented in Table 1 and in Figs. 1 and 2, respectively.

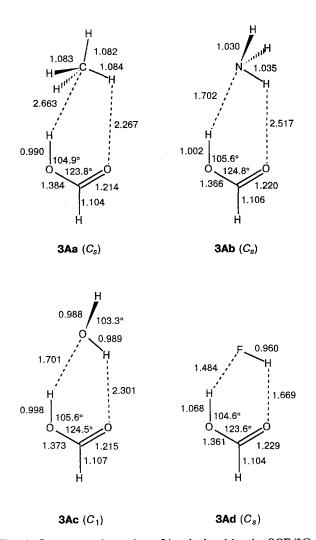


Fig. 1. Structures of associates 3A calculated by the SCF/3G method. Bond lengths (in Å) and angles are presented.

Associates 3A are prereaction complexes stabilized by the formation of hydrogen bonds between the molecules of formic acid and the solvent (see Fig. 1). However, the superposition error,  $^{12}$  which may be as high as  $\sim 1-5$  kcal mol $^{-1}$  in minimum basis sets, has not been taken into account in these calculations, therefore, the values obtained for the energies of hydrogen bonds should be treated with caution. This is especially true for the energy, predicted for the weak hydrogen bond ( $\sim 0.2$  kcal mol $^{-1}$ ) between the molecules of formic acid and methane in associate 3Aa.

For low-barrier intramolecular rearrangements to occur, the principle of stereochemical correlation between the transition state of the given reaction and transition states of "elementary" reactions must be fulfilled.<sup>9,17</sup> The 1,3-transfer of a proton (see Scheme 3) occurs via cyclic transition state 3C with two bridging hydrogen bonds (see Fig. 2). In this reaction, the solvent molecule accomplishes bifunctional (acid-base) catalysis, acting both as a proton donor and a proton acceptor,

**Table 1.** Total  $(E_{\text{total}})$  and relative  $(\Delta E)$  energies, number of negative eigenvalues of Hessian  $(\lambda)$ , and the two minimum  $(\nu_1, \nu_2)$  or imaginary (iv) frequencies of associates **3A**, **3C** and individual molecules

Structure	− <i>E</i> <sub>total</sub> /au	$\Delta E^{a}$ /kcal mol <sup>-1</sup>	λ	iv (v <sub>1</sub> , v <sub>2</sub> ) /cm <sup>-1</sup>
3Aa	225.94505	0	0	(62, 93)
3Ab	241.69052	0	0	(90, 131)
3Ac	261.20140	0	0	(91, 205)
3Ad	284.80869	0	0	(265, 270)
3Ca	225.81990	78.52	1	i2633.6
3Cb	241.66228	17.72	1	i1729.5
3Cc	261.18429	10.72	1	i1546.7
3Cd	284.79744	7.06	1.	i1455.0
$3Ce^b$	225.72460	138.33	1	i2953.8
HC(O)OH	186.21788		0	
CH₄	39.72666	$0.20^{c}$	0	
$NH_3$	55.45542	$10.80^{c}$	0	
$H_2\tilde{O}$	74.96590	$11.04^{c}$	0	
ΗF	98.57285	$11.27^{c}$	0	

Note. Here and in Tables 2 and 3 the data of *ab initio* calculations in the SCF/3G basis set are presented.  $^a$  1 au = 627.517 kcal mol<sup>-1</sup>.  $^b$  Y = Me (see Fig. 2).  $^c$  Relative energies of states with separate molecules of formic acid and the solvent.

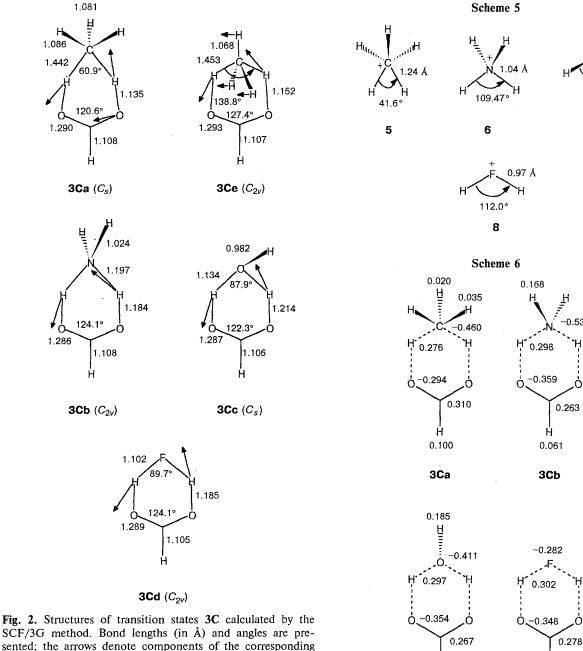
and cooperatively transports two protons, synchronously along two hydrogen bridges. This process can be conventionally divided into three "elementary" reactions: (a) transfer of a proton from the O atom of formic acid to the YH molecule; (b) electrophilic substitution of hydrogen at the central atom of the YH molecule; (c) transfer of a proton from the YH molecule to the second O atom of formic acid.

The transfer of a proton from one atom to another is supposed to occur via a linear transition state. <sup>1,7</sup> However, our calculations showed that substantial deviations from linearity (up to 30°) in the F—H—F<sup>-</sup> structure result in slight variations of the total energy of the system (within 5—10 kcal mol<sup>-1</sup>). This result is in good agreement with experimental <sup>18</sup> and calculated data <sup>7</sup> that the energies of hydrogen bonds are not very sensitive to angular deformations within these limits.

The assignment of the second "elementary" reaction to bimolecular electrophilic substitution (S<sub>E</sub>2) of hydrogen at the central atom of the solvent molecule, YH, may be reasoned, first, by the fact that the configuration of the Y—H bonds at this atom in transition state 3C is close to that found for the structures of CH<sub>5</sub><sup>+</sup> (5), NH<sub>4</sub><sup>+</sup> (6), OH<sub>3</sub><sup>+</sup> (7), and FH<sub>2</sub><sup>+</sup> (8) cations (Scheme 5, bond lengths and angles are given), which model the simplest transition states (intermediates) of S<sub>E</sub>2 reactions of the period II element hydrides, <sup>1</sup> and, second, by the fact that the migrating protons in transition structures 3C carry rather large positive charges (Scheme 6, the numbers mean the charges of the corresponding atoms). The decrease in the activation barrier to the 1,3-shift of a

113.8°

7



SCF/3G method. Bond lengths (in Å) and angles are presented; the arrows denote components of the corresponding transient vectors.

proton in the 3Aa, 3Ab, 3Ac, and 3Ad series is provided not only by the improvement of the stereochemical correspondence to the similar structures 5-8, but also by the increase in the electronegativity of the central atom and the acidity of the Y-H bond over this series.

The proton affinity (PA) of the above-mentioned molecules also increases, though not monotonically, over this series. In fact, the SCF/3G-calculated values of proton affinity for CH<sub>4</sub>, NH<sub>3</sub>, H<sub>2</sub>O, and FH are  $120.1, 259.4, 228.7, \text{ and } 182.8 \text{ kcal mol}^{-1}, \text{ respectively.}$ These values are somewhat higher than the experimental values  $(PA/kcal \text{ mol}^{-1}: 117.6-122.2 \text{ (CH}_4), 207.5-$  216.8 (NH<sub>3</sub>), 153.6-164.6 (H<sub>2</sub>O), and 131.4-138.4 (HF))<sup>19</sup>; however, they adequately reflect the tendency of their variation for the above-listed molecules.

0.087

3Cd

0.073

3Cc

The process of 1,3-transfer of a proton (see Scheme 3) can be also theoretically represented as bimolecular nucleophilic substitution of hydrogen at the central atom of the proton transferring molecule by setting to this atom the stereochemical configuration associated with this type of reaction. However, in this case, a higher activation barrier must be overcome, which is due to the fact that the stereochemical correspondence of this unit to the transition state of nucleophilic substitution ( $S_N2$ ) is considerably worse.  $^{1,9,20}$  For example, the activation barrier to the  $3Aa \rightarrow 9 \rightarrow 3Ba$  reaction occurring as a nucleophilic process via transition state 9 (see Table 1 and Fig. 2) is almost double (138 kcal mol<sup>-1</sup>) that for the electrophilic mechanism. Besides, despite the fact that the CH<sub>5</sub> unit has the expected trigonal-bipyramidal configuration, the positive charges at the entering and leaving axial hydrogen atoms are larger than those at the equatorial atoms (Scheme 7; the numbers mean the charges at the corresponding atoms).

## Scheme 7

This result is in contradiction with the polarity rule, which governs the charge distribution in structures of the trigonal bipyramid type and its derivatives. Thus, transition state 9 is misadjusted both sterically and electronically, which accounts for the fact that it is energetically unfavorable compared to transition state 3Ca.

The synchronous (concerted) character of the shift of the two protons along the cyclic system is ensured by the formation of multicenter two-electron  $\sigma$  molecular orbitals (MO) in the transition structure 3C, whose shape for associate 3Cb is shown in Fig. 3. Any distor-

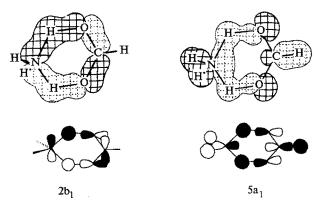


Fig. 3. Molecular orbitals 2b<sub>1</sub> and 5a<sub>1</sub> responsible for stabilization of the H-bridges in transition states.

tion of the structure of 3C toward its disflattening or variation of bond lengths, leading to dissociation into two moieties (stepwise transfer of protons), causes a dramatic increase in the energies of these MO and, consequently, an increase in the total energy of the whole system 3C.

Proton transfer by the nucleophilic substitution mechanism (S<sub>N</sub>2) at the central atom of the solvent (see Scheme 4). If the proton-transferring molecule contains electronegative groups possessing unshared electron pairs (i.e., relatively strong nucleophiles) at the central atom, the transfer of the proton from formic acid may occur via nucleophilic substitution step. This case has been considered using type 4 trimolecular systems as an example. As shown by calculations, all of the systems 4A are associated with energy minima on the PES of the corresponding processes. The calculated energetic and geometric characteristics of systems 4A and 4C are given in Figs. 4 and 5, respectively, and in Table 2.

Associates 4A, like 3A, are prereaction complexes stabilized through the formation of hydrogen bonds between the molecules of formic acid and the solvent; these bonds are much more strong than those in structures 3A (see Fig. 4). One may expect that neglect of the superposition error would exert no substantial effect on the strengths of hydrogen bonds for associates 4A predicted by calculation, except for form 4Ad (Y = F), for which the energy of stabilization obtained by the calculations is too low. It is of interest that, as the results of calculations indicate (see Table 2), a relatively

**Table 2.** Total  $(E_{\text{total}})$  and relative  $(\Delta E)$  energies, number of negative eigenvalues of Hessian  $(\lambda)$ , and the two minimum  $(v_1, v_2)$  or imaginary (iv) frequencies of associates **4A**, transition structures **4C**, and individual molecules

Structure	−E <sub>total</sub> /au	$\Delta E^{a}$ /kcal mol <sup>-1</sup>	λ	iv (v <sub>1</sub> , v <sub>2</sub> ) /cm <sup>-1</sup>
4Aa	421.97719	0	0	(42, 85)
4Ab	437.69913	0	0	(76, 133)
4Ac	457.20648	0	0	(71, 77)
4Ad	480.78110	0	0	(17, 22)
4Ae	383.41568	0	0	(87, 204)
4Ca	421.84180	84.96	1	ì1425.1
15a	421.97581	84.96	1	i49.7
4Cb	437.55049	93.27	1	i1807.5
15b	437.68725	93.27	1	i101.1
4Cc	457.06459	89.04	1	i1787.0
4Cd	480.66820	70.83	1	i1924.9
4Ce	383.41505	0.39	1	i516.2
HC(O)OH	186.21788		0	
MeF	137.16906	$10.92^{b}$	0	
NH <sub>2</sub> F	152.87226	$22.68^{b}$	0	
HOF	172.37421	$26.06^{b}$	0	(1573, 1698.0)
FF	195.98162	$5.49^{b}$	0	. , ,
HF	98.57285	$11.27^{b}$	0	

<sup>&</sup>lt;sup>a</sup> See footnote<sup>a</sup> to Table 1. <sup>b</sup> Relative energies of states with separate molecules of formic acid and the solvent (with no allowance for the superposition error<sup>1,12</sup>).

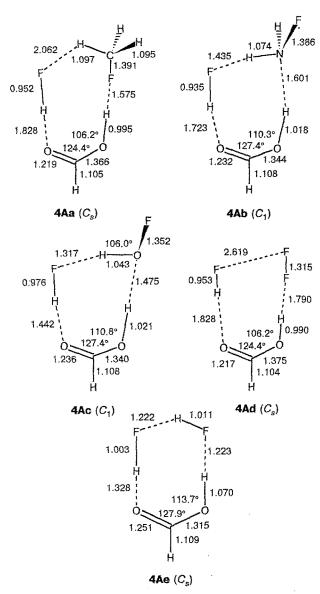


Fig. 4. Structures of associates 4A calculated by the SCF/3G method. Bond lengths (in Å) and angles are presented.

strong hydrogen bond between the molecules of fluoromethane and formic acid exists even in complex 4Aa (Y = Me).

The 1,3-transfer of a proton, as in the previous case, proceeds via cyclic transition state 4C with two bridging hydrogen bonds (see Fig. 5). In this reaction, two solvent molecules perform bifunctional (acid-base) catalysis; one of these acts as a proton donor, and the other acts as a proton acceptor. They simultaneously transport two protons along two hydrogen bridges, cooperatively with synchronous displacement of two F atoms. This process, like the previous one, can be conventionally divided into three "elementary" reactions: (a) transfer of a proton from the O atom of formic acid to the F atom of the FY molecule; (b) nucleophilic substitution of F at the central atom of the FY molecule; (c) transfer of a proton from the FY molecule to the second O atom of formic acid.

Transfer of a proton from one atom to another is governed by the same stereochemical requirements as that in the reaction presented in Scheme 3. The assignment of the second "elementary" reaction to bimolecular nucleophilic substitution (S<sub>N</sub>2) of the F atom at the central atom of the FY molecule may be reasoned, first, by the fact that the configuration of the F—Y bonds at this atom in transition state 4C is close to that found for the optimal structures of FCH<sub>3</sub>F<sup>-</sup>, FNH<sub>2</sub>F<sup>-</sup>, FOHF<sup>-</sup>, FFF<sup>-</sup>, and FHF<sup>-</sup> (10–14, Scheme 8) modelling the simplest transition states of an S<sub>N</sub>2 reaction involving period II atoms in the minimum STO-3G basis set, <sup>1,9</sup> and, second, by the fact that both F atoms in structures 4C carry rather large negative charges (Scheme 9, the numbers mean the charges of the corresponding atoms).

The bond lengths (in Å) and bond angles (see Scheme 8) in structures 10—14 calculated in the STO-3G approximation are compared to those calculated by higher-level methods (see numbers in parentheses). It can be seen that the nature of the stationary point on the PES and the geometry of the anion depend substantially on the calculation scheme. For example, *ab initio* SCF/DZP

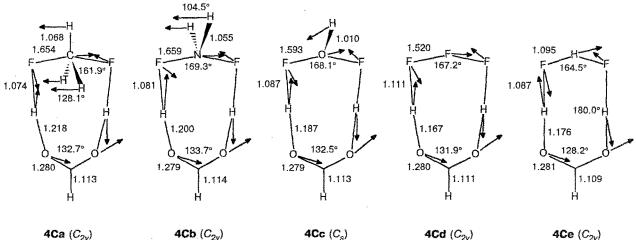
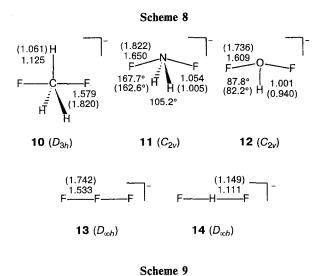
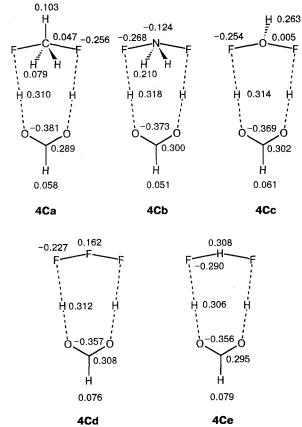


Fig. 5. Structures of transition states 4C calculated by the SCF/3G method. Bond lengths (in Å) and angles are presented; the arrows denote components of the corresponding transient vectors.





calculations and higher-level calculations predict that structures  $10,^{20}$   $11,^{21}$  and 12 (our SCF/6-31G\* calculation gave  $E_{\text{total}} = -274.11685$  au, iv = 665.8 cm<sup>-1</sup>) are transition states ( $\lambda = 1$ ), while  $13^{22,23}$  and  $14^{24,25}$  are the minima on the PES of the corresponding processes.

Species 10—12 have trigonal-bipyramidal structures in which unshared electron pairs act as phantom ligands. In this connection, anions 13 and 14, by analogy with 10-12, can be considered to be "elementary" transition structures in bimolecular nucleophilic substitution ( $S_N2$ )

at the F and H atoms (see Scheme 4). The trigonalbipyramidal structure of the type 10 transition state of S<sub>N</sub>2 processes at a saturated C atom has been rather well studied both experimentally 1,9,26-28 and theoretically. 1,12,20,29 The occurrence of an S<sub>N</sub>2 reaction at a three-coordinated N atom via a type 11 transition state has been experimentally discovered recently<sup>26,30</sup> and has been confirmed by high-level nonempirical calculations carried out for model systems.<sup>21</sup> The stereochemistry of S<sub>N</sub>2 reactions at two-coordinated O atoms and the T-shaped structure of transition state 12 predicted in our calculations are in good agreement with the previously obtained MINDO/3 data concerning the pathway of nucleophilic substitution at a peroxide oxygen atom<sup>31</sup> and with the results of experimental studies of the kinetics of some reactions of peroxides.<sup>32</sup> It is also well known 1.9,22 that similar processes at an S atom proceed via a type 12 T-shaped transition state.

To the best of our knowledge, the pathway of bimolecular nucleophilic substitution at a F atom have not yet been experimentally or theoretically studied. However, it has been assumed<sup>26</sup> that intermolecular transfer of Br<sup>-</sup> in some organic reactions occurs *via* type 13 linear transition state and can be regarded as an S<sub>N</sub>2 process at the bromine atom. It is also noteworthy here that the existence of stable F-F-F<sup>-</sup> anion is still a subject of discussion (see Refs. 22 and 23 and references in these papers).

The gradient pathway of the reaction shown in Scheme 4 is, as it usually is, unilinear for all of associates 4A, except for 4Aa and 4Ab, and coincides with the optimized route<sup>1</sup> between the two minima, 4A and 4B, and transition state 4C. However, this reaction pathway for associates 4Aa and 4Ab is complex (Scheme 10) and consists of three different gradient lines (GL1, GL1', and GL2), two of which (GL1 and GL1') are equivalent and correspond to the reactions of 4Ab, 4A'b and 4Bb, 4B'b, i.e., transcoordination (1,3-shift) of the fluorine atom of the HF molecule with the YH molecule. Figure 6 presents two-dimensional schematic PES of system 4Ab in the region of the configurational space corresponding to the 4A = 4C = 4B reaction (see Schemes 4 and 10).

As can be seen, the gradient lines that connect the minima 4Ab to 4A'b and 4Bb to 4B'b pass through the points of transition structures 15b and 15'b, respectively. The GL2 gradient line, which connects two transition states, 15b and 15'b, and passes through the third transition vector of structure 4Cb is smoothly transformed along the GL2 gradient line into the Hessian eigenvector of associative form 15b (or 15'b), which corresponds to the minimum positive eigenvalue ( $v_1 = 40.4 \text{ cm}^{-1}$ ) and is perpendicular to the transition vector of this structure (15b or 15'b).

Thus, the gradient reaction pathway changes its direction in the configurational space in the point of transition state 15b (or 15'b) and goes from the GL2 line

to GL1 (or GL1'). Both branches of the latter line lead to the minima corresponding to complexes 4Ab and 4Ab (or 4Bb and 4Bb). Along the whole gradient reaction pathway from the saddle point (4Cb) to the minima (4Ab and 4Ab or 4Bb and 4Bb) via another

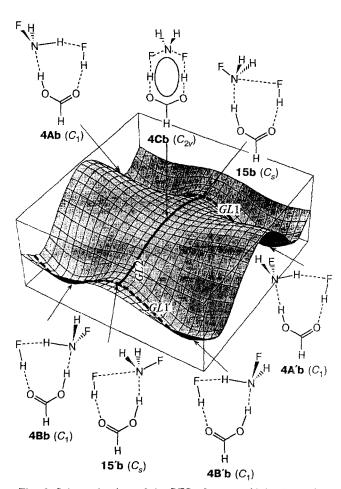


Fig. 6. Schematic view of the PES of system 4A in the region corresponding to the  $4A \rightarrow 4C \rightarrow 4B$  reaction. The GL2 (solid) and GL1, GL1' (dashed) bold-face lines are gradient reaction pathways.

saddle point (15b or 15b), the total energy of the system continuously and smoothly decreases.

It is significant to note that on the GL2 line (on both sides from the point corresponding to transition state **4Cb**) bifurcation points<sup>33</sup> are located, where the "minimum-energy" pathway (but not the gradient line) splits off. In these points, the initial  $C_s$  symmetry of the molecular system is disrupted (the mirror symmetry plane disappears) and Pearson<sup>34</sup> or Pechukas<sup>35</sup> theorems for the minimum-energy pathway are not obeyed. However, on the gradient lines the point symmetry groups of the system,  $C_s$  on GL2 and  $C_1$  on GL1 and GL1, are retained from one critical point to another.<sup>36</sup>

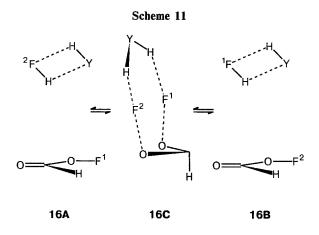
However, the bifurcation points are not critical (stationary), since the gradient does not disappear in these points ( $\nabla E \neq 0$ ) and, hence, the gradient line cannot disappear or bifurcate. Since a chemical system can slide over the PES only along the gradient lines, structural instability appears in the bifurcation points, *i.e.*, a shift in a direction perpendicular to GL2 results in a decrease in the total energy of the system.

The topology of the PES obtained for associates **4Aa** and **4Ab** in the region of configurational space corresponding to Scheme 10 is not unique and is probably quite common for other organic or inorganic processes. For example, this is true for bimolecular nucleophilic substitution in the F<sup>-...</sup>NH<sub>2</sub>F system (see Ref. 36) and it is also probably true for the inversion of the cyclooctatetraene ring and for its valence isomerization.<sup>37</sup> The PES of the H<sub>2</sub>NSO<sub>2</sub>OH molecule in the area of its isomerization<sup>38</sup> to the zwitterion form, <sup>+</sup>H<sub>3</sub>N—SO<sub>3</sub><sup>-</sup>, has a similar structure.

The high activation barriers to the 1,3-shifts of protons calculated for associates 4Aa, 4Ab, 4Ac, and 4Ad indicate that the correspondence to the stereochemical requirements for the occurrence of "elementary" reactions in these systems is rather poor. In fact, as can be seen from Fig. 2, in structures 4C, substantial deviations from the "ideal" stereochemistry, which predetermines the direction of the "elementary" reactions at each of the centers (bimolecular electrophilic substitution,  $S_{\rm E}2$ , at the F atom requires an angle configuration, and for nucleophilic substitution, S<sub>N</sub>2, the linear F-A-F fragment, where A is the central atom in the YF molecule,<sup>2</sup> is needed) and a substantial increase in the O-C-O bond angle in the molecule of formic acid are observed. At the same time, the  $4Ae \rightarrow 4Ce \rightarrow 4Be$  reaction is characterized by close stereochemical correspondence between the minimum-energy (4A) and transition (4C) structures and, consequently, by an extremely low activation barrier (0.4 kcal mol<sup>-1</sup>). Unlike the double transfer of protons in associates 3A, the 1.3-shift of protons in systems 4A in no case occurs by the mechanism of bimolecular electrophilic substitution of the F atom at the central atom of the solvent molecule, YF (F atoms, which are more electronegative than H atoms, cannot occupy equatorial positions at the central atom of the YF molecules in structures 4C).

We have been interested in studying the peculiarities of the mechanism of the migration of groups in system 16A, isomeric to 4A (Scheme 11). Calculations show that structure 16Ca corresponds to a transition state  $(\lambda = 1)$ , 16Cb—d correspond to intermediates  $(\lambda = 0)$ , and 16A corresponds to the dissociation of the system into a separate HC(O)OF molecule and a hydrogen-bonded associate, HF...YF. It should be noted that allowance for the superposition error in the calculation of the energies of stabilization of weakly bonded complexes may change substantially the obtained sequence of their thermodynamic stability.

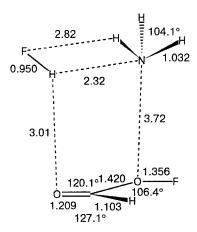
Decomposition of transition structures (intermediates) 16C occurs according to the following reaction pathway: YH and HF molecules move away from (approach) HC(O)OF, remaining in the same plane, perpendicular to the plane in which the molecule of formoxy fluoride lies (see Scheme 10). Figure 7 shows one of the forms that arise on the reaction pathway, when ammonia and hydrogen fluoride molecules approach formoxy fluoride. The energetic and geometric characteristics



 $Y = Me(a), NH_2(b), OH(c), F(d)$ 

calculated for systems 16A and 16C are presented in Figs. 7 and 8, respectively, and in Table 3.

The 1,3-shift of the F atom shown in Scheme 11 occurs via a cyclic chair-shaped transition (intermediate) state 16C (see Fig. 8) with an extremely high activation barrier (see Table 3). As shown by calculations, planar structures 17C are associated with the critical points with  $\lambda \geq 3$  in the PES of the process, which is due to the antiaromatic nature of these structures. The antiaromatic nature (filling of antibonding  $\pi$ -MO) of associate 17C governs the direction of the low-energy distortion of its planar structure, resulting in the chair-shaped form 16C and in the stabilization of the antibonding  $\pi$ -MO filled with electrons (see 18  $\rightarrow$  19 in Scheme 12). This leads to a decrease in the total energy of the system and a variation of the index  $\lambda$  of the critical point for structure 16C compared with 17C.



16Ab (C<sub>1</sub>)

Fig. 7. SCF/3G-calculated structure of system 16Ab with an energy of -437.66181 au, which arises on the reaction pathway when formoxy fluoride and HF and NH<sub>3</sub> molecules approach each other. Bond lengths (in Å) and angles are presented.

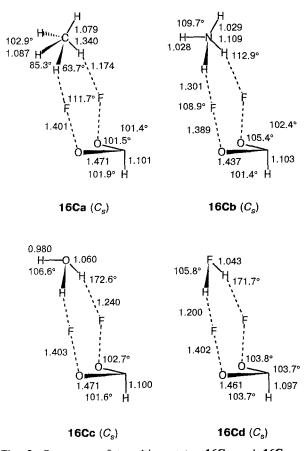
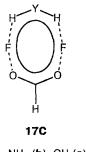


Fig. 8. Structures of transition states 16Ca and 16Cc and intermediates 16Cb and 16Cd calculated by the SCF/3G method. Bond lengths (in Å) and angles are presented.



 $Y = Me(a), NH_2(b), OH(c), F(d)$ 

Systems 16A and 16C are less energetically favorable (cf. Tables 2 and 3) than the corresponding isomeric structures 4A and 4C. This is caused by the fact that the O—F bond is much weaker than the O—H bond (so far no formoxy fluoride molecule has been experimentally detected, and its  $CH_3$ - and  $CF_3$ -substituted derivatives,  $H_3CC(O)OF$  <sup>39,40</sup> and  $F_3CC(O)OF$ ,<sup>41</sup> respectively, although having been detected in some studies,<sup>39–41</sup> are extremely unstable). However, formoxy fluoride is associated with a relatively deep minimum in the PES.

The SCF/3G-calculated geometric characteristics (bond lengths and angles) of the HC(0)OF and FOH

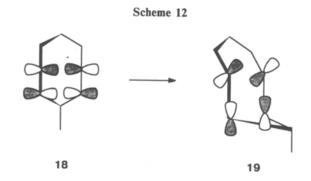
**Table 3.** Total  $(E_{\text{total}})$  and relative  $(\Delta E)$  energies, number of negative eigenvalues of Hessian  $(\lambda)$ , and the two minimum  $(\nu_1, \nu_2)$  or imaginary (iv) frequencies of systems **16A**, **16C** and molecules

Structure	−E <sub>total</sub> /au	$\Delta E^a$ /kcal mol <sup>-1</sup>	λ	iv (v <sub>1</sub> , v <sub>2</sub> ) /cm <sup>-1</sup>
16Aa	421.92041 <sup>b</sup>	0		
16Ab	437.66181 <sup>b</sup>	0		
16Ac	457.17107b	0		
16Ad	480.77483 <sup>b</sup>	0		
16Ca	421.66426	160.74	1	i2178.3
16Cb	437.55877	64.66	0	(87.6, 166.6)
16Cc	457.07115	62.70	0	(77.1, 183.5)
16Cd	480.67048	65.48	0	(113.5, 190.7)
HC(0)OF	283.62036		0	(257.3; 313.0)
HF···HCH3	138.30008	$0.36^{c}$		, , ,
$FH \cdots NH_3$	154.04145	$8.27^{c}$	0	(241.8, 241.8)
$FH \cdots OH_2$	173.55071	7.51 <sup>c</sup>	0 ·	(246.8, 253.6)
FH····FH ~	195.98162	$5.50^{c}$	0	(262.7, 353.0)

<sup>a</sup> See footnote<sup>a</sup> to Table 1. <sup>b</sup> Total energies of states with separate molecules of formoxy fluoride and hydrogen-bonded  $YH \cdots FH$  system. <sup>c</sup> Relative energies of states with separate YH and FH molecules (with no allowance for the superposition error).

molecules are presented in Scheme 13, along with experimental data for FOH (see numbers in parentheses).

The lengths of the O-F bonds in compounds HC(O)OF and FOH predicted by *ab initio* (SCF/3G) calculations are shorter than those determined experimentally.<sup>42</sup> More exact agreement between the experimental and theoretical data for molecules incorporating O-F bonds can be achieved by using extended basis sets.<sup>12</sup>



Scheme 13

20 21

Thus, the calculations carried out imply that for low-barrier synchronized double proton transfer to occur, the principle of stereochemical correspondence must be fulfilled for all of the reaction contacts of the "elementary" steps in the given system: a nearly linear structure of the hydrogen bond bridge during the transfer of a proton and a structure close to the optimal structure of the transition states of  $S_{\rm N}2$  and  $S_{\rm E}2$  processes at the heavy atom of the solvent molecule (i.e., to a trigonal bipyramid for  $S_{\rm N}2$ ) as well as an angular configuration of the F atoms for the  $S_{\rm E}2$  reaction, are required. The height of the activation barrier in the case of associates 3 correlates with the electronegativity of the central atom of the solvent molecule, the mediator of the proton transfer.

The present work was carried out with financial support from the Russian Foundation for Basic Research (Project Nos. 93-03-4972 and 93-03-18692) and the International Science Foundation (Grant ISF RNJ 000).

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